



## Form ADV Part 2B – Brochure Supplement

for

**Neil M. Poulicakos  
6A Marc Drive, Unit 10  
Plymouth, MA 02360  
(508) 317-3227**

**Effective: November 5, 2021**

This Form ADV2B (“Brochure Supplement”) provides information about the background and qualifications of Neil M. Poulicakos (CRD# 4204947) in addition to the information contained in the Portfolio Medics, LLC (“Portfolio Medics” or the “Advisor”) (CRD # 145958) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Portfolio Medics Disclosure Brochure or this Brochure Supplement, please contact us at (239) 444-1766.

Additional information about Mr. Poulicakos is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

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Mr. Neil M. Poulicakos is an Investment Advisor Representative of Portfolio Medics. Mr. Poulicakos, born in July 1969, is dedicated to advising Clients of Portfolio Medics and graduated from Weymouth South High School in 1987.

### **Licensing and Examinations**

Series 66, December 2006

### **Employment History:**

Investment Advisor Representative, Portfolio Medics, LLC	11/2021 to Present
Unemployed	01/2021 to 08/2021
Insurance Agent, Family First Life	08/2021 to Present
Investment Consultant, TD Ameritrade	04/2007 - 01/2021
Advisor, CCO Investment Services	12/2006 - 04/2007

## **Item 3 – Disciplinary Information**

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Mr. Poulicakos has no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business. Also, Mr. Poulicakos has not been involved in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction, an administrative proceeding before the SEC, or any other federal regulatory authority, and/or a self-regulatory organization (SRO) proceeding. Mr. Poulicakos' FINRA Brokercheck may have additional information regarding the disciplinary history of Neil Poulicakos that is not included in this brochure supplement (<http://brokercheck.finra.org>).

## **Item 4 – Other Business Activities**

Mr. Poulicakos is an independent insurance agent for Family First Life. In this role Mr. Poulicakos sells insurance products to clients. He spends approximately 20 hours a month during market and non-market hours on this activity.

## **Item 5 – Additional Compensation**

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Mr. Poulicakos does not receive additional compensation other from any other outside business activity.

## **Item 6 – Supervision**

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Mr. Poulicakos serves as an Investment Advisor Representative of Portfolio Medics and is supervised by John Billy, the Chief Compliance Officer. John Billy can be reached at (239) 444-1766.

Portfolio Medics has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of Portfolio Medics. Further, Portfolio Medics is subject to regulatory oversight by various agencies. These agencies require registration by Portfolio Medics and its employees. As a registered entity, Portfolio Medics is subject to examinations by regulators, which may be announced or unannounced. Portfolio Medics is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

## **Item 7 – Requirements for State Registered Advisors**

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Mr. Poulicakos does not have any additional information to disclose.